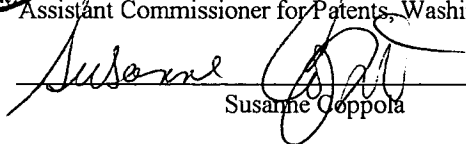




CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231" on January 18, 2000.

  
Susanne Coppola

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Dmitry A. Raykhman  
SERIAL NO. : 09/415,392  
DATE FILED : October 8, 1999  
FOR : Real-Time Commodity Trading Method and Apparatus

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF LATE COMBINED DECLARATION  
AND POWER OF ATTORNEY,  
VERIFIED STATEMENT CLAIMING SMALL  
ENTITY STATUS - SMALL BUSINESS CONCERN,  
AND FILING FEE**

S I R :

In response to the Notice to File Missing Parts of Application in the above-identified application, enclosed herewith is a Combined Declaration and Power of Attorney for United States Patent Application, duly executed by the applicant and inventor Dmitry A. Raykhman.

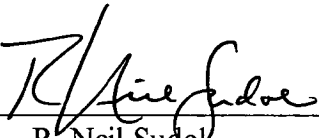
Also enclosed is a Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(F) and 1.27(B)) - Small Business Concern, signed by Dmitry A. Raykhman as Director of RFV Holdings LTD. We are filing an Assignment to RFV Holdings LTD concurrently with this filing.

Applicant hereby submits a check for One-Thousand-Two-Hundred-and-Eighty-Nine Dollars (\$1289.00). Included within this check is the One-Thousand-One-Hundred-and-Sixty-Nine Dollar (\$1169.00) filing fee, the Sixty-Five-Dollar (\$65.00) late filing charge, and a Fifty-Five Dollar (\$55.00) fee for a one-month extension of time. All amounts are in accordance with the fees for small entity status.

Copies of the Notice to File Missing Parts of Application and a Request for Extension of time are also enclosed.

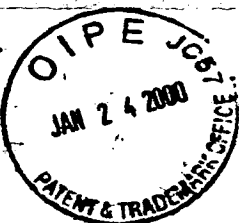
Kindly charge any additional fees or credit any over-remittance to Deposit Account No. 04-0838. A duplicate copy of the Transmittal Letter is enclosed for accounting purposes.

Respectfully submitted,  
COLEMAN SUDOL, LLP

By:   
R. Neil Sudol,  
Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: January 18, 2000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SECTOR#

#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/415,392 10/08/99 RAYKHMAN D D21-001

023271103

R NEIL SUDOL  
COLEMAN SUDOL LLP  
708 THIRD AVENUE 14TH FLOOR  
NEW YORK NY 10017

NOT ASSIGNED

2761

DATE MAILED:

11/03/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 2468.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 954.00 for 53 total claims over 20.

\$ 624.00 for 8 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

G. Mollat

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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